NEBRASKA ADMINISTRATIVE CODE

TITLE 25 NEBRASKA ADMINISTRATIVE CODE, CHAPTER 13 NEBRASKA DEPARTMENT OF AGRICULTURE BUREAU OF PLANT INDUSTRY PLANT PROTECTION AND PLANT PEST ACT REGULATIONS

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TITLE 25 - NEBRASKA DEPARTMENT OF AGRICULTURE-BUREAU OF PLANT INDUSTRY CHAPTER 13 - PLANT PROTECTION AND PLANT PEST ACT REGULATIONS

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TITLE 25 - DEPARTMENT OF AGRICULTURE, BUREAU OF PLANT INDUSTRY

Chapter 13 - PLANT PROTECTION AND PLANT PEST ACT REGULATIONS

001 Definitions.

<u>001.01</u> The definitions of terms contained in the Plant Protection and Plant Pest Act shall apply to such terms when used in these regulations.

001.02 "Act" shall mean the Plant Protection and Plant Pest Act, Neb. Rev. Stat. §§2-1072 to 2-10,117.

<u>001.03</u> Balled and burlapped shall mean nursery stock which has been removed from the growing site with a ball of soil, containing a portion of the undisturbed root system, left intact and encased in burlap or other similar material to hold the soil in place. This shall also include nursery stock which has been removed from the growing site by a mechanical digger, containing the root system, left intact but not necessarily encased in burlap or other similar material.

<u>001.04</u> Balled and potted shall mean nursery stock which has been removed from the growing site with a ball of soil, containing the root system, left intact and placed in a container other than listed in 25 NAC 13-001.03.

<u>001.05</u> Bare root shall mean nursery stock which has been removed from the growing site with the root system substantially free of soil.

- <u>001.06</u> Bench balled shall mean nursery stock which has been removed from the growing site with the root system substantially free of soil, then packaged in soil or growing medium to form a ball, and wrapped in burlap or similar material to hold the contents in place.
- <u>001.07</u> Container grown shall mean nursery stock which has been planted in a container with the root system in soil or other growing medium capable of sustaining normal plant growth. The nursery stock has become established in the container and exhibits a well-rooted condition as evidenced by the medium ball remaining intact when removed from said container.
- <u>001.08</u> Etiolated growth shall mean plant growth which is bleached or whitened and elongated usually as the result of the exclusion of light.
- <u>001.09</u> Greenhouse plants shall mean plants grown in an enclosed environment and the growing medium has been processed to exclude indigenous plant pests.
- 001.10 Noxious weeds shall mean those plants designated by the Director as noxious weeds pursuant to the Nebraska Noxious Weed Control Act, Neb. Rev. Stat. §§2-945.01 to 2-968.
- <u>001.11</u> Packaged shall mean bare root nursery stock packed with the roots in moisture retaining material which is wrapped and sealed in plastic or other material to hold the contents in place.
- <u>001.12</u> Phytosanitary or export certificate shall mean federal Phytosanitary Certificate, State Phytosanitary Certificate, Export Certificate, Processed Plant Products Certificate, Phytosanitary Certificate for Reexport, or any other similar documents as they may apply.
- <u>001.13</u> Phytosanitary inspection work shall mean inspecting, traveling to do inspections, sampling, transporting samples, surveying, trapping, and any other work performed in preparation for a phytosanitary or export certificate, a compliance agreement, or maintaining a compliance agreement, whether or not a phytosanitary or export certificate is actually issued or a compliance agreement is actually entered into.
- <u>001.14</u> Potted shall mean nursery stock which has been planted in a container with the roots in soil or other growing medium which has not become established as described in 25 NAC 13-001.07.

- <u>001.15</u> Recognized authorizing agency shall mean an agency whose laws, regulations, and inspection, certification and licensing program and standards are essentially equivalent to, and meet the requirements of the Plant Protection and Plant Pest Act, the regulations adopted and promulgated under it, and the Nebraska Department of Agriculture's inspection program.
- <u>001.16</u> Soil shall mean that material occupying or originating from the outermost part of the earth's crust.
- <u>001.17</u> Viability shall mean capable of germination or living and developing under normal growing conditions into a plant which would be typical in height, spread, caliper, dimension, condition, quality and age of plant of that species.
- <u>001.18</u> Weed shall mean any plant species other than the plant species as labeled.
- 002 American Standard for Nursery Stock Adopted.
 - <u>002.01</u> The *American Standard for Nursery Stock*, copyright 2004, published by the American Association of Nurserymen, Inc., shall be used by the Department in determining standards and grades of nursery stock when not in conflict with the Act. A copy of the publication is attached and incorporated herein by reference and is hereby adopted and promulgated and may be used. The publication is available upon request to the Department.
- 003 Fees for Licensing, Inspection, and Reinspection of Growers and Collectors.
 - <u>003.01</u> The fees charged for licensing, inspection of growers and collectors, and reinspection are authorized by <u>Neb</u>. <u>Rev</u>. <u>Stat</u>. §§2-1080, 2-1092, 2-1093, 2-1094, 2-1095, 2-10,102, and 2-10,116.01 of the Act.
 - <u>003.01A</u> Persons required to obtain a grower's license shall make application to the Department prior to March 15, or any person making initial application prior to the beginning of distribution and shall be charged an inspection fee based on acreage. Persons who file an application on or after April 15, initial applications not received prior to beginning distribution or growers whom require a reinspection shall pay, in addition to the acreage, a late fee assessed by using the mileage rate and inspection time rate, as set below in 25 NAC 13-003.01B.

<u>003.01B</u> The following rates shall be used in determining inspection and reinspection costs of growers and collectors:

<u>003.01B1</u> Mileage charge - \$0.42 per mile.

003.01B2 Inspection time - \$24.00 per hour.

<u>003.01B3</u> Inspection time shall include the driving time to and from the location in addition to the time spent conducting the inspection.

<u>003.01B4</u> Acreage charge - \$25.00 per acre for the first acre or fraction thereof and \$5.00 per acre thereafter.

<u>003.01B5</u> If grower or collector inspection fees are more than one month late, an additional penalty fee of 20% of the total fees due will be added on for each month the fees are late.

004 Fees for Licensing and Reinspection of Dealers and Brokers.

<u>004.01</u> The licensing fee, late fee, and reinspection fee for dealers and brokers is authorized by <u>Neb</u>. <u>Rev</u>. <u>Stat</u>. §§2-1077, 2-1097, 2-1098, 2-1099, 2-10,100, 2-10,100.01, and 2-10,116.01 of the Act.

<u>004.01A</u> Dealers who desire to distribute nursery stock in Nebraska shall obtain a dealers license. Persons shall submit a completed application provided by the Department, accompanied by the appropriate fee prior to December 31 of the following calendar year, or any person making initial application prior to beginning distribution.

<u>004.01B</u> An annual license fee of \$100.00 shall be assessed for dealers. An annual license fee of \$100.00 shall be assessed for brokers.

<u>004.01C</u> The delinquent fee shall be \$20.00 per month for each month delinquent, not to exceed \$100.00.

<u>004.01D</u> If a reinspection of a dealer is necessary, they shall be assessed a fee based on mileage and inspection time.

004.01D1 Mileage charge - \$0.42 per mile.

<u>004.01D2</u> Inspection time - \$24.00 per hour.

<u>004.01D3</u> Inspection time shall include the driving time to and from the location in addition to the time spent conducting the inspection.

005 Inspection of Dealers, Growers, Collectors, and Optional Inspections.

<u>005.01</u> The inspection of dealers, growers, collectors and optional inspection of nursery stock and the associated reports issued are authorized by <u>Neb</u>. <u>Rev</u>. <u>Stat.</u> §§2-1091, 2-1092, 2-1093, 2-1094, 2-1095, 2-1096, 2-1097, 2-1098, 2-10,100, 2-10,101, 2-10,102, 2-10,103, 2-10,105, and 2-10,115 of the Act.

<u>005.01A</u> Nursery stock shall be accessible during regular business hours to the Department for inspection. Weeds or other growth which hinders a proper inspection shall be grounds to suspend or withhold any license or be cause for a reinspection.

<u>005.01A1</u> Each grower shall post sign markers which delineate sections of nursery stock. A section shall be no larger than five acres.

<u>005.01B</u> Inspection reports issued to growers shall contain a list of plants inspected and the plant pests found at the time of inspection. Withdrawal-from-distribution orders shall be considered part of the inspection reports. The withdrawal-from-distribution order shall contain a list of plants withdrawn from distribution and the location of the plants. The Department may post signs to delineate sections withdrawn from distribution. These signs must remain in place until an authorized person from the Department removes them, or the Department authorizes, in writing, permission to allow the grower to remove the signs.

<u>005.01C</u> Inspection reports issued to dealers shall outline the violations involved and corrective actions to be taken including withdrawal-from-distribution orders which would specify nursery stock that could not be distributed from a certain area.

006 Storage or Display of Nursery Stock.

<u>006.01</u> Nursery stock shall not be displayed or stored in contact with concrete, asphalt, or other similar hard-surfaced areas unless moisture-retaining or heat-reducing material has been provided. Roots shall be protected from excessive heat, drying, and other adverse conditions. The authority to set

regulations for the storage or display of nursery stock by dealers and growers is found in Neb. Rev. Stat. §§2-1096 and 2-10,101 of the Act.

<u>006.01A</u> Balled and burlapped nursery stock shall be kept moist at all times and in a moisture retaining material at a depth sufficient to cover approximately 75% of the ball.

<u>006.01B</u> Bare root nursery stock shall be kept under conditions of temperature, light, and moisture to retard etiolated or other abnormal growth and maintain viability. Moisture shall be supplied to the root system by high humidity conditions in storage or by covering the roots with moisture retaining material. The roots of healed-in-stock shall be covered by moisture retaining material.

<u>006.01C</u> Bench balled nursery stock shall be kept moist at all times and in a moisture retaining material at a depth sufficient to cover approximately 75% of the ball.

<u>006.01D</u> Container grown, potted, and balled and potted nursery stock shall be kept and displayed under conditions of temperature, light, and moisture sufficient to maintain the viability and vigor of the nursery stock. Potting medium shall be maintained at a depth sufficient to cover all roots of the plants.

<u>006.01E</u> Packaged nursery stock shall be stored and displayed under conditions of temperature and light that will retard etiolated growth or other abnormal growth and ensure adequate moisture.

007 Standards for Viability of Nursery Stock Distributed.

<u>007.01</u> All nursery stock distributed by dealers, growers, collectors, and those requesting optional inspections shall meet the following standards of viability. That stock not meeting those minimum standards of viability shall not be offered for distribution. The authority to set viability standards for nursery stock distributed is found in <u>Neb</u>. <u>Rev</u>. <u>Stat</u>. §§2-1096, 2-1097, 2-1098, 2-10,101, 2-10,102, 2-10,103, 2-10,105, and 2-10,116 of the Act.

<u>007.01A</u> Woody stemmed deciduous stock shall have a moist, green cambium in the stems and branches and shall have viable buds or normal green, unwilted growth. Etiolated growth from individual buds shall not be more than four inches.

- <u>007.01B</u> Rose bushes shall have a moist, green cambium in each cane at least six inches above the graft. Etiolated growth from individual buds shall not be more than four inches.
- <u>007.01C</u> Balled and burlapped nursery stock shall have a moist, green cambium in the stems and branches and shall have viable buds or normal green, unwilted growth. Etiolated growth from individual buds shall not be more than four inches. Balled and burlapped nursery stock shall have secure earth balls that shall meet or exceed the size specified by the *American Standard for Nursery Stock*.
- <u>007.01D</u> Herbaceous perennials shall not be wilted or in such condition indicative of subnormal viability.
- <u>007.01E</u> Colored waxes or other materials used to coat the aerial parts of the plants, that change the appearance of the plant surface so as to prevent adequate inspection, are prohibited.
- <u>007.01F</u> Nursery stock with plant pest infestations or serious damage as a result of plant pest infestations shall not be distributed.
- <u>007.01G</u> Nursery stock distributed by dealers, growers, collectors, and those with optional inspections shall not contain actively growing weeds.
- <u>007.01H</u> Nursery stock environmentally or mechanically damaged or damaged by animals shall not be distributed if normal growth and development would be inhibited. Examples include, but are not limited to, cultivator damage, deer damage, rabbit damage, hail damage, sunscald and frost cracks. Nursery stock will be ordered destroyed if 40% or greater of the cambium layer of the trunk or crown is damaged. If 20 to 40% of the cambium layer of the trunk or crown is damaged, nursery stock may be withdrawn from distribution.
- <u>007.011</u> Nursery stock shall not be distributed with plastic burlap. All other non-decomposing material enclosing balls of soil and all nursery stock bound with plastic rope shall be untied when active growth begins. Rope used to tie up the branches of trees shall be removed when active growth begins.

<u>007.01J</u> Any plant not meeting the above criterion may be pruned to remove dead or damaged branches and canes or etiolated growth and may then be distributed.

<u>008 Labeling and Shipment of Nursery Stock.</u>

<u>008.01</u> The proper labeling and record keeping of nursery stock distributed or received by dealers, growers, collectors, brokers and those with optional inspections is authorized by <u>Neb</u>. <u>Rev</u>. <u>Stat</u>. <u>§</u>§2-1091.01, 2-10,106, 2-10,108, and 2-10,116 of the Act.

<u>008.01A</u> All nursery stock distributed in Nebraska shall be individually labeled to include:

008.01A1 Correct common name or botanical name; and

<u>008.01A2</u> Place of origin of nursery stock except that container grown or potted nursery stock of one gallon or less is not required to have an individual place of origin label.

<u>008.01A2a</u> Place of origin labels may be in code, provided the Department is given access to the code.

<u>008.01B</u> Dealers, growers, collectors, brokers, and those with optional inspections shall maintain and make documents available to the Department for three years shipment records, packing slips, and other records of:

<u>008.01B1</u> The name and address of any person from which nursery stock was received and the receiving date;

<u>008.01B2</u> The name and quantity of the contents;

<u>008.01B3</u> The place of origin and documentation required by any state or federal quarantine; and

<u>008.01B4</u> A license or its equivalent issued by the recognized authorized agency stating that the nursery from which the nursery stock originates has been inspected.

<u>008.01C</u> All collected nursery stock shall be labeled as collected.

<u>008.01D</u> When grade classifications are declared, they shall be in accordance with those adopted pursuant to 25 NAC 13-002 in addition to the requirements in <u>Neb</u>. <u>Rev</u>. <u>Stat</u>. §2-10,106 of the Act.

<u>008.01E</u> When nursery stock is distributed in a group or bundle of the same species and variety, a label on the group or bundle shall be an adequate label and shall include the requirements in <u>Neb</u>. <u>Rev</u>. <u>Stat</u>. §2-10,106 of the Act.

<u>008.01F</u> When nursery stock is from a quarantined area, the shipment must include the proper documentation and compliance labeling of any applicable federal or state quarantine.

<u>008.01G</u> When nursery stock is distributed to the final customer and planted, the label may be omitted if the information required in 25 NAC 13-008.01A for that nursery stock is listed on the invoice or receipt.

009 Inspection and Certification of Plants and Plant Products for Export.

<u>009.01</u> The inspection of plants and plant products for export, the issuance of phytosanitary or export certificates, and fees assessed is authorized in <u>Neb</u>. <u>Rev</u>. <u>Stat</u>. §§2-1091 and 2-10,110 of the Act.

<u>009.01A</u> Most foreign countries have quarantines, requirements, and regulations that must be met before a plant or plant product may be imported into their country. Nebraska plants and plant products would not be admissible into the foreign country unless those shipments were inspected and certified by the appropriate plant regulatory agency.

<u>009.01B</u> An exporter of plants or plant products desiring to originate shipments from Nebraska to a foreign country requiring a phytosanitary or export certificate shall submit an application to the Department. The application shall be made on forms provided or approved by the Department.

<u>009.01C</u> The Department shall conduct inspections of plants, plant products, or facilities for persons that have applied for or intend to apply for a phytosanitary or export certificate from the Department. Inspections shall include one or more of the following:

<u>009.01C1</u> An inspection of the plants or plant products intended for export under a phytosanitary or export certificate and if necessary; or

<u>009.01C2</u> Field inspections of growing plants to determine presence or absence of plant diseases; or

<u>009.01C3</u> Laboratory diagnosis for presence or absence of plant diseases; or

<u>009.01C4</u> Observation and evaluation of procedures and facilities utilized in handling plants and plant products; or

<u>009.01C5</u> Review of USDA, Federal Grain Inspection Service (FGIS) Official Export Grain Inspection Certificate logs.

<u>009.01D</u> The Department may issue a phytosanitary or export certificate if the plants or plant products satisfactorily meet the requirements of the importing foreign country and the USDA/APHIS requirements. The requirements of the destination countries shall be met by the applicant.

<u>009.01E</u> The Department shall assess fees to cover the costs of phytosanitary inspection work. The inspection fee shall include mileage and inspection time.

<u>009.01E1</u> Mileage charge - \$0.42 per mile.

009.01E2 Inspection time - \$24.00 per hour.

<u>009.01E3</u> Inspection time shall include the driving time to and from the location in addition to the time spent conducting the phytosanitary inspection work.

<u>009.01E4</u> Except as provided in 25 NAC 13-009.01E5, a fee of \$30.00 shall be assessed for each phytosanitary or export certificate issued in addition to any other charges as described in 25 NAC 13-009.01E6, 25 NAC 13-009.01E7 and 25 NAC 13-009.01E8.

<u>009.01E5</u> A fee of \$10.00 shall be assessed for each phytosanitary or export certificate issued, in addition to any other charges as described in 25 NAC 13-009.01E6, 25 NAC 13-009.01E7 and 25 NAC 13-009.01E8 for the following applicants:

<u>009.01E5a</u> Any university or college needing a phytosanitary or export certificate for academic research purposes;

<u>009.01E5b</u> Any agency, board, or commission of the state of Nebraska; and

<u>009.01E5c</u> Any licensed grower requesting a phytosanitary or export certificate for nursery stock shipments.

<u>009.01E6</u> Any application made to the Department by telephone shall be assessed an additional fee of \$7.00.

<u>009.01E7</u> Any fee charged to the Department by the United States Department of Agriculture for the issuance of a phytosanitary or export certificate shall be paid by the applicant.

<u>009.01E8</u> Any fee charged to the Department by a laboratory for diagnostic services or any shipping costs related to phytosanitary inspection work shall be paid by the applicant.

<u>009.01F</u> The Department shall refuse the issuance of a phytosanitary or export certificate for any of the following reasons:

<u>009.01F1</u> Failure of the plants or plant products to meet quarantine, regulations, and requirements imposed by the country for which the phytosanitary or export certificate is being requested.

<u>009.01F2</u> Failure to completely or accurately provide the information requested on the application form.

<u>009.01F3</u> Failure to ship the exact plants or plant products which were inspected and approved.

<u>009.01F4</u> Failure to pay any fees or costs due the Department.

<u>010 Compliance with European Corn Borer Quarantines Maintained in Other States of</u> the United States.

<u>010.01</u> Inspection of facilities or equipment, the issuance of certificates and the fees assessed associated with the compliance to the European corn borer quarantines of other states are authorized in <u>Neb</u>. <u>Rev</u>. <u>Stat</u>. §§2-1091 and 2-10,110 of the Act.

<u>010.01A</u> Statement of Purpose - The European corn borer (<u>Ostrinia nubilalis</u>) is an insect found statewide in Nebraska and causes severe damage to various plants. However, this insect is not distributed across the United States. A number of states have imposed and maintain a quarantine prohibiting the introduction of this pest into their state. States with a quarantine in effect are Arizona, California, Hawaii, Idaho, Nevada, New Mexico, Oregon, Texas, Utah, Washington, and the Canadian provinces of Alberta and British Columbia. The quarantines maintained by these states and provinces prevent the shipment of Nebraska grown corn, sorghums, broomcorn, and sudan seeds, unless such shipments have been duly certified to have been treated in a manner that would exclude the live larvae of the European corn borer.

<u>010.01B</u> Any grain distribution facility desiring to originate shipments of corn, sorghums, broomcorn, or sudan seeds to states maintaining European corn borer quarantines may apply for an inspection of their facilities and equipment by the Department. An application shall be made on forms provided by the Department. After enrollment in the program, it shall be the responsibility of the facility manager or the facility's appointed person to review the screening and loading process of quarantine commodities.

<u>010.01C</u> When conducting inspections of grain distribution facilities, the Department shall be guided by the requirements of those states and provinces maintaining quarantines. The requirements of the destination state or province shall be met. The Department shall maintain current information with respect to the quarantine requirements of the individual states and provinces and shall make available such information to the grain distribution facilities within the state.

<u>010.01D</u> The Department shall conduct inspections of the grain distribution facilities upon initial request to enter into the European corn borer quarantine program. After initial enrollment into the program,

periodic inspections shall be conducted. Inspections may include one or more of the following:

<u>010.01D1</u> Inspection of facilities and equipment.

010.01D2 Inspection of grain shipments.

<u>010.01D3</u> Observation and evaluation of procedures utilized in handling and inspecting grain shipments, and issuing European Corn Borer Certificates.

<u>010.01E</u> The Department shall issue a European Corn Borer Quarantine Inspection License to those facilities which have met the requirements of those states and provinces maintaining quarantines and paid the annual fee. The certificate shall be valid for one year after issuance unless revoked sooner by the Department. European Corn Borer Certificates may not be sold to a person until the European Corn Borer Quarantine Inspection License has been issued to the facility.

<u>010.01F</u> The Department shall assess the following fees for the issuance of a European Corn Borer Quarantine Inspection License and European Corn Borer Certificates.

<u>010.01F1</u> The annual fee for the issuance of a European Corn Borer Quarantine Inspection License shall be \$50.00.

<u>010.01F2</u> The cost for each European Corn Borer Certificate shall be 25 cents. The certificates are sold in pads of 25 for \$6.25 a pad.

<u>010.01G</u> The Department may revoke a European Corn Borer Quarantine Inspection License for any of the following reasons:

<u>010.01G1</u> Failure to completely and accurately provide the information requested on the application, or failure to carry out the assurances set forth therein.

<u>010.01G2</u> Failure to pay any fees or costs due the Department.

<u>010.01G3</u> Failure to maintain facilities and equipment in the manner prescribed by the Department.

<u>010.01G4</u> Failure to conduct inspections of grain shipments in the manner prescribed by the Department.

<u>010.01G5</u> Failure to utilize the grain handling and inspection procedures prescribed by the Department.

<u>010.01G6</u> Failure to issue European Corn Borer Certificates in the manner prescribed by the Department.

<u>011 Importation and Distribution of Live Plant Pests, Biological Control Agents and Soils.</u>

<u>011.01</u> The regulation of importation of live plant pests, biological control agents, and soils is authorized under <u>Neb</u>. <u>Rev</u>. <u>Stat</u>. §2-10,113.

<u>011.01A</u> Persons desiring to import live plant pests, biological control agents, or soils are required to obtain a permit prior to shipment from the Department.

<u>011.01A1</u> Permits issued shall be valid for the period of time specified.

011.01B Exemptions to 25 NAC 13-011.01A are:

<u>011.01B1</u> Those live plant pests, biological control agents, or soils which have received a permit issued by the USDA/APHIS and were approved by the Department.

<u>011.01B2</u> Those biological control agents which have received U.S. Environmental Protection Agency product registration under section 3 of the Federal Insecticide Fungicide and Rodenticide Act; and

<u>011.01B3</u> Honey bees of the species <u>Apis</u> <u>mellifera</u>.

<u>011.01C</u> Regulations for the shipment of live plant pests, biological control agents, or soils under permit.

<u>011.01C1</u> All shipments shall be in sturdy escape-proof containers; and

<u>011.01C2</u> Each shipment shall be accompanied by a copy of the permit and a label identifying the shipment.

<u>011.01C3</u> Any shipment which is not authorized by this section shall be destroyed after the Department has been notified or returned to the shipment's point of origin.

<u>011.01D</u> The containment of plant pests utilized for research, analysis, or other educational purposes.

<u>011.01D1</u> Safety standards for laboratory and greenhouse should be followed as written in Safeguard Guidelines for Containment of Plant Pests Under Permit by USDA/APHIS PPQ, APHIS 81-61, June, 1983.

<u>012</u> Assessment of Administrative Fines. If any person licensed pursuant to the Act fails to comply with Neb. Rev. Stat. §2-10,103, the Department may impose an administrative fine pursuant to Neb. Rev. Stat. §§2-10,103.01 and 2-10,103.02 and this section.

<u>012.01</u> In addition to the definitions set forth in 25 NAC 13-001, the following shall apply to this section:

<u>012.01A</u> Level of violation shall mean that the alleged violation is a first, second, or third or more violation.

<u>012.01A1</u> A first violation occurs when the alleged violator has not been found by an order of the Director or by his or her own admission in a consent agreement to have committed the same alleged violation in the last three years.

<u>012.01A2</u> A second violation occurs when the alleged violator has been found by an order of the Director or by his or her own admission in a consent agreement to have committed the same alleged violation once within the last three years of committing the current alleged violation.

- <u>012.01A3</u> A third violation occurs when the alleged violator has been found by an order of the Director or by his or her own admission in a consent agreement to have committed the same alleged violation at least two times within the last three years of committing the current alleged violation.
- <u>012.01B</u> Median fine shall mean the fine to be assessed in the absence of aggravating factors or mitigating factors or when the aggravating factors and the mitigating factors are equally applicable.
- <u>012.01C</u> Aggravating factors shall mean findings of fact which enhance the seriousness of a violation and indicate a larger fine than the median fine would be appropriate. Such factors include:
 - <u>012.01C1</u> The potential for the violation to cause serious economic injury for persons or serious physical injury to plants.
 - <u>012.01C2</u> The actual economic injury to persons or physical injury to plants.
 - <u>012.01C3</u> The alleged violator's intentional, willful, or negligent failure to avoid the violation.
 - <u>012.01C4</u> The failure by the alleged violator to take steps to remedy the violation.
 - <u>012.01C5</u> The similarity of the current alleged violation to previous violations that occurred within the last three years.
 - 012.01C6 The alleged violator's financial gain from the violation.
 - <u>012.01C7</u> The alleged violator's likeliness to repeat the violation.
 - <u>012.01C8</u> The alleged violator's nondisclosure of the violation to the Department.
 - <u>012.01C9</u> The alleged violator's failure to cooperate with the investigation of the Department.

<u>012.01D</u> Mitigating factors shall mean findings of fact which reduce the seriousness of the violation and indicate a smaller fine than the median fine would be appropriate. Such factors include:

<u>012.01D1</u> The lack of potential economic injury to persons or physical injury to plants.

<u>012.01D2</u> The lack of actual economic injury to persons or physical injury to plants.

<u>012.01D3</u> The unintentional, nonwillful, or non-negligent nature of the violation.

<u>012.01D4</u> The alleged violator's attempts to take remedial action regarding the violation.

<u>012.01D5</u> The lack of previous similar violations within the last three years.

<u>012.01D6</u> The lack of the alleged violator's financial gain from the violation.

<u>012.01D7</u> The unlikelihood that the alleged violator would repeat the violation.

<u>012.01D8</u> The alleged violator's disclosure of the violation to the Department.

<u>012.01D9</u> The alleged violator's cooperation with the investigation of the Department, or related investigations, including entering into a consent agreement.

<u>012.01E</u> The type of the alleged violation shall mean the category of the violation as a type I, type II, or type III violation.

<u>012.01E1</u> Type I violation shall mean the least serious violations and includes:

<u>012.01E1a</u> Failing to post a grower's or dealer's license in a conspicuous place at the distribution location.

<u>012.01E1b</u> Failing to report to the Department in writing the amount and type of plants treated or destroyed under the requirements of a withdrawal-from-distribution order.

<u>012.01E1c</u> Distributing nursery stock not labeled according to the standards set forth in 25 NAC 13-008.

<u>012.01E1d</u> Distributing nursery stock that is not stored or displayed under conditions which maintain its vigor according to the standards set forth in 25 NAC 13-006.

<u>012.01E1e</u> Distributing nursery stock containing actively growing weeds.

<u>012.01E1f</u> Failing to delineate sections of nursery stock with sign markers.

<u>012.01E1g</u> Violating <u>Neb</u>. <u>Rev</u>. <u>Stat</u>. §2-10,115(1)(m).

<u>012.01E2</u> Type II violations shall mean moderately serious violations and includes:

<u>012.01E2a</u> Failing to maintain a complete and accurate list with the Department of all sources from which nursery stock is obtained.

<u>012.01E2b</u> Receiving nursery stock from a federal or state quarantined area without the proper quarantine certificates or documentation of quarantine compliance.

<u>012.01E2c</u> Failing to carry out treatment or destruction of nursery stock as ordered by the withdrawal-from-distribution order within 30 days.

<u>012.01E2d</u> Mislabeling nursery stock by using the wrong common name or botanical name.

<u>012.01E2e</u> Distributing nursery stock that is not sound, healthy, and reasonably capable of growth.

<u>012.01E2f</u> Failing to keep and make available for examination by the Department all books, papers, and other information necessary for the enforcement of the Act.

<u>012.01E2g</u> Failing to maintain nursery stock in a manner accessible to the Department.

<u>012.01E3</u> Type III violations shall mean the most serious violations and includes:

<u>012.01E3a</u> Acquiring for distribution nursery stock from any person who has not been duly licensed under the Act.

<u>012.01E3b</u> Using any license issued by the Department; after it has been revoked or has expired, while the license is under suspension, or, for purposes other than those authorized by the Act.

<u>012.01E3c</u> Allowing a license to be used by any person other than the person to whom it was issued.

<u>012.01E3d</u> Knowingly moving any regulated article into the state from a quarantined area of any other state when such article has not been treated or handled as provided by the requirements of the quarantine.

<u>012.01E3e</u> Distributing nursery stock which has been withdrawn from distribution.

<u>012.01E3f</u> Distributing nursery stock that is not free from quarantined plant pests.

<u>012.01E3g</u> Distributing biological control agents or genetically engineered plant organisms without a permit, if a permit is required by the Act.

<u>012.01E3h</u> Interfering with the Department in the performance of its duties.

012.01E3i Failing to comply with an order of the Director.

012.01F Consent agreement shall mean a written agreement between the

Department and the alleged violator, setting forth an admission of the alleged violation and a consent to the assessment of a stated fine.

<u>012.02</u> The amount of the fine assessed under this section shall be determined by the Director based on the type of violation, the level of violation, and whether or not facts exist to prove mitigating factors or aggravating factors.

<u>012.02A</u> The Director shall determine the fine based on the fine assignment schedule tables as follows:

<u>012.02A1</u> For type I violations, the Fine Assignment Schedule Table in 25 NAC 13-014.01 shall be used.

<u>012.02A2</u> For type II violations, the Fine Assignment Schedule Table in 25 NAC 13-014.02 shall be used.

<u>012.02A3</u> For type III violations, the Fine Assignment Schedule Table in 25 NAC 13-014.03 shall be used.

<u>012.03</u> The Director shall apply the median fine unless mitigating factors or aggravating factors are found or when the aggravating factors or mitigating factors are equivalently cogent.

<u>012.04</u> An alleged violator shall be subject to the assessment of a fine for each commission of a violation, but may not be fined for any lesser included violation, arising out of the same act, if the alleged violator has been fined for the greater violation.

<u>013 Administration</u>. These regulations shall be administered by the Nebraska Department of Agriculture, Bureau of Plant Industry, located in the State Office Building, Fourth Floor, 301 Centennial Mall South, Lincoln, Nebraska. The mailing address is P.O. Box 94756, Lincoln, Nebraska 68509-4756; telephone number (402) 471-2394.

014 Fine Assignment Schedule Tables.

014.01 Fine Assignment Schedule Table - Type I

Type I (Least Serious) Category of Violation

Level of Violation	Mitigated	Median	Aggravated
FIRST	\$20	\$40	\$60
SECOND	\$40	\$60	\$100
THIRD	\$80	\$120	\$200

014.02 Fine Assignment Schedule Table - Type II

Type II (Moderately Serious) Category of Violation

Level of Violation	Mitigated	Median	Aggravated
FIRST	\$50	\$100	\$200
SECOND	\$100	\$200	\$300
THIRD	\$200	\$300	\$400

014.03 Fine Assignment Schedule Table - Type III

Type III (Most Serious) Category of Violation

Level of Violation	Mitigated	Median	Aggravated
FIRST	\$100	\$200	\$300
SECOND	\$200	\$300	\$400
THIRD	\$300	\$400	\$500

015 Planting of Certified Seed Potatoes.

<u>015.01</u> In cooperation with the Department, the certification entity of seed potatoes in the state of Nebraska shall comply with the Memorandum of Understanding between the Nebraska Department of Agriculture and the United States Department of Agriculture Animal and Plant Health Inspection Service Plant Protection and Quarantine which as a part of the State National Harmonization Program for seed potatoes sets minimum standards for the certification of seed potatoes. A copy of the Memorandum of Understanding is attached and incorporated herein by reference and is hereby adopted and promulgated and may be used.

<u>015.02</u> The Director recognizes that the Potato Certification Association of Nebraska is the certification entity in the state of Nebraska to certify that seed potatoes are free of regulated plant pests of seed potatoes.

<u>015.03</u> A person may not plant seed potatoes in lots of one acre or more, for the purpose of selling the crop to be harvested, unless the seed potatoes:

<u>015.03A</u> Have been certified by the Nebraska certification entity as meeting the standards of this state; or,

<u>015.03A1</u> Have been certified by another state or province having a similar seed potato quality assurance (certification) program, as determined by the Nebraska certification entity; or,

<u>015.03A2</u> Have been field inspected and approved by the Nebraska certification entity.

<u>015.03B</u> If the Director has reason to believe that seed potatoes meeting the requirements of this section are not available in sufficient quantities to fulfill planting needs, the Director may permit the planting of seed potatoes with a higher disease content, providing that bacterial ring rot and nematodes are not present in the seed potatoes, and that a serious disease threat is not posed.

<u>015.04</u> All seed potatoes planted in this state by any person, from any state, territory, or country, must be accompanied by:

<u>015.04A</u> A health certificate to certify that the lot of seed potatoes was certified by another state or province, having a similar seed potato quality assurance (certification) program, as determined by the Nebraska certification entity; or,

<u>015.04B</u> An official grade certificate describing the grade of the potatoes; and,

<u>015.04C</u> Any other document or certificate required for the importation of seed potatoes by the state, province, territory, or country of origin, including test results issued by a laboratory approved by the United States Department of Agriculture (USDA) Animal Plant Health Inspection Service (APHIS).

<u>015.05</u> In addition to the record keeping requirements listed under the Act and the Nebraska Potato Development Act, every person who plants one or more acres of potatoes shall maintain records indicating the acreage, varieties, and source of all seed potatoes planted. The records must be available for inspection by the Nebraska certification entity for a period of three years from the date of planting. Records are to include:

<u>015.05A</u>	Proof of production in an accredited certification program;
<u>015.05B</u>	Health certificate or official grade certificate;
015.05C	Shipping point inspection;
015.05D	Documentation on planting location in Nebraska;
015.05E country);	Federal phytosanitary certificate (if coming from a foreign
	State phytosanitary certificate (if the seed potatoes are a article under any state or federal quarantine);
015.05G	Proof of lab testing, is applicable;
015.05H	Proof of application for re-certification, if applicable;
015.05I certification	Proof of application for post-harvest test, if planted for re-

<u>015.05J</u> Any other record needed to confirm origin, identity, or health of the seed potatoes.

<u>015.06</u> This section shall become effective on July 18, 2008, or on the effective date of this amendment to these regulations, whichever is later.

016 Publications Adopted. See Appendix A and Appendix B.

<u>017 Annotation.</u> <u>Neb</u>. <u>Rev</u>. <u>Stat</u>. §§2-1072 to 2-10,117 (Reissue 1997, Cum. Supp. 2006, and LB 791 of 2008).

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